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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,181	11/21/2001	Igor Gonda	AERX088

24353  
BOZICEVIC, FIELD & FRANCIS LLP  
200 MIDDLEFIELD RD  
SUITE 200  
MENLO PARK, CA 94025



CONFIRMATION NO. 1229

## FORMALITIES LETTER



\*OC00000007324268\*

Date Mailed: 01/17/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/05/2002 SMINASS1 00000116 500815 09990181 FILED UNDER 37 CFR 1.53(b)

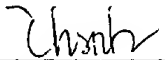
01 FC:101 740.00 CH  
02 FC:103 144.00 CH  
03 FC:105 130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$144.  
    ■ \$144 for 8 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1014.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Please type a plus sign (+) inside this box →



PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/990,181	
	Filing Date	November 21, 2001	
	First Named Inventor	GONDA, IGOR	
	Group Art Unit	1614	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	6	Attorney Docket Number	AERX-088

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice to File Missing Parts (1 page); Executed Declaration and Power of Attorney (2 pages); Return Receipt Postcard
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	KARL BOZICEVIC, Reg. No. 28,807
Signature	
Date	February 12, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 12, 2002.		
Typed or printed name	Kimberly Williams Zuehlke	
Signature		Date February 12, 2002

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (10-01)

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# **FEE TRANSMITTAL** **for FY 2002**

Patent fees are subject to annual revision.

## **Complete if Known**

Application Number	09/990,181
Filing Date	November 21, 2001
First Named Inventor	GONDA, IGOR
Examiner Name	To Be Assigned
Group Art Unit	1614
Attorney Docket No.	AERX-088

**TOTAL AMOUNT OF PAYMENT** (\$)**1,014.00**

## **METHOD OF PAYMENT**

- ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
 Deposit Account Number 50-0815  
 Deposit Account Name Bozicevic, Field & Francis LLP  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant Claims small entity status. See 37 CFR 1.27

- ☐ Payment Enclosed:  
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## **FEE CALCULATION**

- | Large Fee Code      | Entity (\$) | Small Fee Code | Entity (\$) | Fee Description        | Fee Paid      |
|---------------------|-------------|----------------|-------------|------------------------|---------------|
| 101                 | 740         | 201            | 370         | Utility filing fee     | 740.00        |
| 106                 | 330         | 206            | 165         | Design filing fee      |               |
| 107                 | 510         | 207            | 255         | Plant filing fee       |               |
| 108                 | 740         | 208            | 370         | Reissue filing fee     |               |
| 114                 | 160         | 214            | 80          | Provisional filing fee |               |
| <b>SUBTOTAL (1)</b> |             |                |             |                        | <b>740.00</b> |

- | 1. EXTRA CLAIM FEES |                  | Fee from below | Fee Paid         |
|---------------------|------------------|----------------|------------------|
| Total Claims        | 28-20** = 8 x    | 18 =           | 144.00           |
| Indep. Claims       | 3-3** = 0 x 84 = | 0 =            | 0.00             |
| Multiple Dependent  |                  | 0 =            | 0.00             |
| <b>SUBTOTAL (2)</b> |                  |                | <b>\$ 144.00</b> |

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) \$ 144.00</b>				

\*\*or number previously paid, if greater; For Reissues, see above.

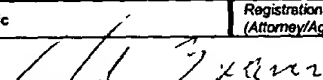
## **FEE CALCULATION (continued)**

- | Large Fee Code | Entity (\$) | Small Fee Code | Entity (\$) | Fee Description  | Fee Paid |
|----------------|-------------|----------------|-------------|--|----------|
| 105            | 130         | 205            | 65          | Surcharge - late filing fee or oath  | 130.00   |
| 127            | 50          | 227            | 25          | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130         | 139            | 130         | Non-English specification  |          |
| 147            | 2,520       | 147            | 2,520       | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112            | 920*        | 112            | 920*        | Requesting publication of SIR prior to Examination action                  |          |
| 113            | 1,840*      | 113            | 1,840*      | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110         | 215            | 55          | Extension for reply within first month                                     |          |
| 116            | 400         | 216            | 200         | Extension for reply within second month                                    |          |
| 117            | 920         | 217            | 460         | Extension for reply within third month                                     |          |
| 118            | 1,440       | 218            | 720         | Extension for reply within fourth month                                    |          |
| 128            | 1,960       | 228            | 980         | Extension for reply within fifth month                                     |          |
| 119            | 320         | 219            | 160         | Notice of Appeal   |          |
| 120            | 320         | 220            | 160         | Filing a brief in support of an appeal                                     |          |
| 121            | 280         | 221            | 140         | Request for oral hearing   |          |
| 138            | 1,510       | 138            | 1,510       | Petition to institute a public use proceeding                              |          |
| 140            | 110         | 240            | 55          | Petition to revive - unavoidable   |          |
| 141            | 1,280       | 241            | 640         | Petition to revive - unintentional   |          |
| 142            | 1,280       | 242            | 640         | Utility issue fee (or reissue)   |          |
| 143            | 460         | 243            | 230         | Design issue fee   |          |
| 144            | 620         | 244            | 310         | Plant issue fee  |          |
| 122            | 130         | 122            | 130         | Petitions to the Commissioner  |          |
| 123            | 50          | 123            | 50          | Processing fee under 37 CFR 1.17(q)  |          |
| 126            | 180         | 126            | 180         | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40          | 581            | 40          | Recording each patent assignment per property (times number of properties) |          |
| 146            | 740         | 246            | 370         | For each additional invention to be examined (37 CFR § 1.129(a))           |          |
| 149            | 740         | 249            | 370         | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179            | 740         | 279            | 370         | Request for Continued Examination (RCE)                                    |          |
| 169            | 900         | 169            | 900         | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)**130.00****

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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>			
Name (Print/Type)	Karl Bozicevic	Registration No. (Attorney/Agent)	28,807	Telephone	(650) 327-3400
Signature		Date	02/12/2002		

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